FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, | D.C. | 20549 |
|-------------|------|-------|

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL | | | | | | | | | |
|--------------------------|-----------|--|--|--|--|--|--|--|--|
| OMB Number: | 3235-0287 | | | | | | | | |
| Estimated average burden | | | | | | | | | |
| hours per response: | 0.5 | | | | | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* <u>Gunstream Stephen</u> | | | | | | 2. Issuer Name and Ticker or Trading Symbol Alpha Teknova, Inc. [TKNO] | | | | | | | | (Che | elationship eck all applic X Directo | , | | | |
|---|--|--|--|--------|---------------------------------|---|--------------------------------|---------|--|---------|--|----------------------|-------------------------|---|---|--|--|--|--|
| (Last) (First) (Middle) C/O ALPHA TEKNOVA, INC. 2290 BERT DRIVE | | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 09/30/2022 | | | | | | | | X Officer (give title other (spelow) President and CEO | | | | specify | |
| (Street) HOLLIS (City) | | | 95023 (Zip) | | - 4.1 | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | Line | Y) X Form f Form f | idual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | |
| | | Tab | le I - No | n-Deri | vativ | e Se | curit | ties Ac | quire | d, Di | sposed | of, or E | ene | eficiall | y Owned | | | | |
| 1. Title of Security (Instr. 3) 2. Transact Date (Month/Day | | | | | | Execution Date, | | | 3. 4. Securities Acquired (A) of Transaction Code (Instr. | | | (A) or 3, 4 and 5 | Benefici Owned F | es ally Following | Form (D) o | . Ownership orm: Direct O) or Indirect) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership | | |
| | | | | | | | Code V Amount (A) or (D) Price | | Price | Transac | Reported Transaction(s) (Instr. 3 and 4) | | | (Instr. 4) | | | | | |
| Common Stock 09/30/2 | | | | | | 2 | | | М | | 40,00 | 0 A | | \$0.836 | 58,000 | | | D | |
| | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year | | 4. Transactio Code (Insti | | | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | | of Secu | rities /ing ive S | Security | 8. Price of Derivative Security (Instr. 5) | | e Over State of State | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | | Code | v | (A) | (D) | Date Exerci | sable | Expiration Date | Title | N C | Amount or Number of Shares | | | | | |
| Employee Stock Option (Right to buy) | \$0.8368 ⁽¹⁾ | 09/30/2022 | | | М | | | 40,000 | (2 | 2) | 08/31/2030 | Commo Stock | | 40,000 | \$0.00 | 886,87 | 79 | D | |

Explanation of Responses:

- $1.\ Reflects\ a\ 1.8746\text{-for-1}\ forward\ stock\ split\ which\ was\ effected\ on\ June\ 17,\ 2021.$
- 2. 231,719 option shares, representing 1/4th of the original number of shares subject to the option (adjusted for the forward stock split described herein), became exercisable on December 16, 2020, and 1/48th of the original number of option shares subject to the option have vested and shall continue to vest on a monthly basis thereafter, subject to the Reporting Person's continued service to the Issuer through each such vesting date.

Remarks:

/s/ Damon Terril, as Attorneyin-Fact

10/04/2022

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.